



विज्ञान एवं प्रौद्योगिकी विभाग  
DEPARTMENT OF  
**SCIENCE & TECHNOLOGY**



GLOBAL  
INNOVATION &  
TECHNOLOGY  
ALLIANCE



רשות החדשנות  
Israel Innovation  
Authority

## **REQUEST FOR PROPOSALS GUIDANCE FOR APPLICANTS**

# **India-Israel Industrial R&D and Technological Innovation Fund (I4F)**

Supported by

**Department of Science & Technology (DST),  
Government of India**

**&**

**Israel Innovation Authority (IIA),  
Government of Israel**

**1<sup>st</sup> Call for Proposal (CFP) 2018**

**January 2018**

**India-Israel Industrial R&D and Technological Innovation Fund (I4F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

**1<sup>st</sup> Call for Proposal (CFP)**

Name of the Programme	<p><b>India-Israel Industrial R&amp;D and Technological Innovation Fund (I4F)</b></p> <p>[Between Department of Science &amp; Technology (DST), Government of India and Israel Innovation Authority (IIA), Government of Israel]</p>
Important Dates	<p>Opening of the Call for Proposals : <b>15 January 2018</b></p> <p>Closing of the Call for Proposals : <b>16 April 2018</b></p>
Who Can Apply?	<p><b>Eligible Indian Project Lead (INPL)</b></p> <p>An Indian company headquartered in India having requisite understanding and capability to undertake R&amp;D activities.</p> <ul style="list-style-type: none"> <li>• The Indian Project Lead (<b>INPL</b>) (i.e. lead company) must be a commercial (for profit) company under the Indian Company Act 1956/2013, which operates in and is headquartered in India.</li> <li>• At least 51% stake of the INPL Company must be owned by Indian citizens.</li> <li>• The INPL should have the required expertise and team capacity to manage the proposed project.</li> <li>• Sole proprietors and partnership firms are not eligible for support under this programme</li> <li>• Companies headquartered and owned outside India and their subsidiaries in India, or vice versa, are not eligible to receive funding from DST/GITA under this programme.</li> <li>• INPL should lead the project from Indian side and if required bring in other Industry Partners or Academic/R&amp;D Institutions as Sub-contractors.</li> </ul> <p><b>Eligible Israeli Applicants</b></p> <p>An Israeli for profit R&amp;D performing company, which is registered, operate in Israel as Project Lead (ISPL), responsible for the Israel application submission, leading the Israeli side of the project and communicating with the Indian Project Lead.</p> <ul style="list-style-type: none"> <li>• The Israeli Project Lead (ISPL) ((i.e. lead company) and any other Israeli company that is signed on the Cooperation and Project and Funding Agreement, are subjected to the Israeli Research and Development Law (1984) and to the Israel Innovation Authority Board Guideline number 1.</li> </ul>
Eligible technology sectors	<p>The collaboration aims to promote projects that are innovative and reflecting consumer demands, so that they are market-oriented at the same time focussed on creating a new product or process that will eventually lead to commercialization.</p> <p>On this basis, this CFP 2018 is open to the applied R&amp;D projects in major strategic area of:</p> <ul style="list-style-type: none"> <li>• Water</li> <li>• Energy</li> <li>• Agriculture</li> <li>• Healthcare</li> <li>• Information &amp; Communication Technologies (ICT)</li> </ul>

**India-Israel Industrial R&D and Technological Innovation Fund (I4F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

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Project funding support	<p>Selected R&amp;D project participants will receive funding from GITA, on behalf of Department of Science &amp; Technology (DST), Government of India, in India and from Israel Innovation Authority, in Israel respectively. Funds will be provided in accordance with the national laws, rules, regulations and procedures established by each organization, and/or each jurisdiction/country.</p> <p><b>Funding for R&amp;D Project Participants in India</b></p> <p>GITA on behalf of the Department of Science &amp; Technology (DST), Government of India, will fund the successful projects, as follows:</p> <ul style="list-style-type: none"><li>DST-GITA will support a Minimum of 0.25 Million USD to Maximum of 1.25 Million USD per project or 50% of the Indian Project Cost whichever is lower.</li></ul> <p>Funding for R&amp;D Project Participants in Israel</p> <ul style="list-style-type: none"><li>Innovation Authority will support a Minimum of 0.25 Million USD to Maximum of 1.25 Million USD per project or 50% of the Israeli Project Cost whichever is lower.</li></ul>
Types of projects to be supported	<p><b>Two types of projects will be considered for program funding:</b></p> <ol style="list-style-type: none"><li>Full-Scale R&amp;D Projects, focused on development of new products, processes or technologies</li><li>Product Adaptation Projects -In addition to R&amp;D projects aimed at the development of new, innovative and R&amp;D-intensive products, companies are also eligible for funding support for projects focused on the adaptation and customization of a product to in the markets e.g. in Israel, India or elsewhere, including but not limited to:<ol style="list-style-type: none"><li>Adaptation of product in consideration of local cultural / technical considerations</li><li>Adaptation to local conditions, such as climate, infrastructure etc.</li><li>Regulatory considerations, particularly for foods and drugs</li><li>Reduction of price to customer by the utilization of local components</li><li>Reduction of price to customer by optimization of specification</li><li>Establishment of beta-sites</li></ol></li></ol>

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# India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications



Department of Science & Technology  
Ministry of Science & Technology  
Government of India



רשות החדשנות  
Israel Innovation  
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## Contents

<b>1. ABOUT THE PROGRAMME</b>	4
<b>2. ABOUT FUNDING &amp; IMPLEMENTING AGENCY</b>	4
Department of Science & Technology (DST)	4
Global Innovation & Technology Alliance (GITA)	4
Israel Innovation authority	5
<b>3. ELIGIBLE TECHNOLOGY SECTORS</b>	6
<b>4. ELIGIBILITY CRITERIA</b>	6
<b>5. SELECTION CRITERIA</b>	7
<b>6. R&amp;D PROJECT FUNDING – FINANCIAL SUPPORT TO SUCCESSFUL APPLICANTS</b>	8
<b>7. APPLICATION PROCESS</b>	8
<b>8. IMPORTANT DATES AND DEADLINES</b>	9
<b>9. MATCHMAKING SUPPORTS</b>	10
<b>10. PROJECT COST GUIDELINES</b>	10
<b>11. EVALUATION AND SELECTION OF R&amp;D PROJECTS</b>	13
<b>12. RELEASE OF FUNDS AND ROYALTY PAYMENT</b>	15
<b>13. KEY DOCUMENTS NEEDED (For Indian Applications)</b>	15
<b>14. RESPONSIBILITIES AFTER PROPOSAL HAS BEEN APPROVED</b>	16
<b>15. CONTACT INFORMATION</b>	16

# **India-Israel Industrial R&D and Technological Innovation Fund (I<sup>4</sup>F) Call for Proposal – Terms, Conditions & Guidelines for Applications**

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## **1. ABOUT THE PROGRAMME**

I<sup>4</sup>F is cooperation between the **Department of Science and Technology (DST), Government of India**, and **Innovation Authority (IIA), Government of Israel** to promote, facilitate and support joint industrial R&D projects, between companies from India and Israel.

DST and Israel Innovation Authority have jointly created a \$40 Mn USD I<sup>4</sup>F fund with equal contribution from both sides over the period of Five years. I<sup>4</sup>F is aimed to promote, facilitate and support joint Industrial R&D between India & Israel which would lead to co-development and commercialization of innovative technologies benefiting both the countries.

The objective of this programme is to respond to the global issues concerning science & technology while developing technologies that can be commercialized and localized within 2 years through joint cooperation between India and Israel.

In India, on behalf of DST, funding and other services will be provided through Global Innovation & Technology Alliance (GITA) and in Israel, funding and other services will be provided through Israel Innovation Authority.

Applicants are required to review the complete application guidelines before developing and submitting a complete proposal.

Please note that the proposal preparation guidelines should be seen as suggestions which will be helpful to applicants in preparing a proposal that addresses the issues on which the evaluation will be based. Applicants can choose to address the suggestions that they believe will strengthen their proposal.

## **2. ABOUT FUNDING & IMPLEMENTING AGENCY**

### **Department of Science & Technology (DST)**

The Department of Science & Technology (DST) was established in May 1971, with the objective of promoting new areas of Science & Technology and to play the role of a nodal department for organising, coordinating and promoting S&T activities in the country. The Department of Science & Technology (DST), Government of India has an important role in building a strong base for Research, Development and Demonstration in India and in addressing national concerns about strengthening science and technology. DST, as a national agency for strengthening the base of Science and Technology in the country has made sincere attempt to address national concerns of India in basic research.

For more information about DST, please visit [www.dst.gov.in](http://www.dst.gov.in)

### **Global Innovation & Technology Alliance (GITA)**

GITA is a “not-for-profit” Public Private Partnership (PPP) company promoted jointly by the Confederation of Indian Industry (CII) with 51% holding and the Technology Development Board (TDB), Department of Science & Technology (DST), Government of India with 49% holding.

It is an innovative mechanism between the government and Indian industry for attracting the industry's investment in technology by mapping technology gaps, evaluating technology offers across the globe, connecting among technology developers, providers, commercializers, and funding last phase of technology development that connects the market and deployment of technology solutions.

For more information about GITA, please visit [www.gita.org.in](http://www.gita.org.in)

## **India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications**

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### **Israel Innovation authority**

The Israel Innovation Authority, responsible for the country's innovation policy, is an independent and impartial public entity that operates for the benefit of the Israeli innovation ecosystem and Israeli economy as a whole.

Its role is to nurture and develop Israeli innovation resources, while creating and strengthening the infrastructure and framework needed to support the entire knowledge industry.

As such, the Israel Innovation Authority advises the government and Parliament ("Knesset") committees regarding innovation policy in Israel and furthermore monitors and analyses the dynamic changes taking place throughout the innovation environments in Israel and abroad. The Authority creates cooperation with counterpart agencies to promote technological innovation in the Israeli industry and economy.

Innovation is by far the most valuable resource for the State of Israel, serving as a national asset crucial to economic prosperity. Strengthening the innovation ecosystem is the mission of the Israel Innovation Authority, which seeks to further develop and support technological innovation in Israel through various support tools.

The Israel Innovation Authority provides a variety of practical tools and funding platforms aimed at addressing the dynamic and changing needs of the local and international innovation ecosystems.

With deep knowledge and understanding of the unique challenges facing the Israeli companies and entrepreneurs, the tools and programs offered by the Authority are based on the specific stage and needs of the company. This includes programs for early stage entrepreneurs, mature companies developing new products or manufacturing processes, academic groups seeking to transfer their ideas to the market, multinational corporations interested in Israeli technology, Israeli companies seeking new markets abroad, and traditional factories and plants seeking to incorporate innovative and advanced manufacturing into their businesses.

For more information about Israel Innovation Authority, please visit <https://innovationisrael.org.il>

# India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications

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## 3. ELIGIBLE TECHNOLOGY SECTORS

The collaboration aims to promote projects that are innovative and reflecting consumer demands, so that they are market-oriented at the same time focussed on creating a new product or process that will eventually lead to commercialization.

On this basis, this CFP 2018 is open to the applied R&D projects in major strategic area of:

- **Water**
- **Energy**
- **Agriculture**
- **Healthcare**
- **Information & Communication Technologies (ICT)**

The CFP is open to projects in the above sectors, based on the merit that include Science & Technology (S&T) development leading to commercial success, social good and benefit to both countries.

## 4. ELIGIBILITY CRITERIA

Each proposal must include as a minimum:

1. A for profit R&D performing **Indian** company registered under the Indian Company Act 1956/2013 as **Indian Project Lead (INPL)**, responsible for the Indian application submission, leading the Indian part of the project and communicating with the Israeli Project Lead and
2. An **Israeli** for profit R&D performing company, which is registered, operates in Israel as **Israeli Project Lead (ISPL)**, responsible for the Israel application submission, leading the Israeli side of the project and communicating with the Indian Project Lead.

*Important Notes:*

- Collaborations must be business-led from both sides.
- Sub-contractors (i.e. universities ,research institutions) are eligible to participate as partner as part of bi-lateral Indo-Israeli consortium for the project as long as they have background IP that will be used in the joint project or if they get ownership of the new developed Product

### **Applicants from Israel**

- The Israeli Project Lead (ISPL) ((i.e. lead company) and any other Israeli company that is signed on the Cooperation and Project and Funding Agreement, are subjected to the Israeli Research and Development Law (1984) and to the Israel Innovation Authority Board Guideline number 1.

# India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications

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## Applicants from India

An Indian company headquartered in India having requisite understanding and capability to undertake R&D activities. Academic institutions, Research entities and other R&D institutes having headquarter and operational base in India are strongly encouraged to participate in the projects as Intellectual Contractors/Partners with INPL (as appropriate/agreed with INPL.)

- The Indian Project Lead (INPL) (i.e. lead company) must be a commercial (for profit) company under the Indian Company Act 1956/2013, which operates in and is headquartered in India.
- At least 51% stake of the INPL Company must be owned by Indian citizens.
- The INPL should have the required expertise and team capacity to manage the proposed project.
- Sole proprietors and partnership firms are not eligible for support under this programme
- Companies headquartered and owned outside India and their subsidiaries in India, or vice versa, are not eligible to receive funding from DST/GITA under this programme.
- INPL should lead the project from Indian side and if required bring in other Industry Partners or Academic/R&D Institutions as Sub-contractors.

*Preferences will be given to:*

- Indian companies having partnership with government-funded Indian academic and R&D institutions.
- Indian companies having in-house R&D Centre which is recognised by the Department of Scientific and Industrial Research (DSIR), Government of India. For details, please refer to <http://www.dsir.gov.in/forms/irdpp/Application%20for%20R&D.pdf>
- Companies that fall under the Micro, Small and Medium Enterprise (MSME) category, as defined by the Government of India. For definition of MSME, please refer to [http://www.dcmsme.gov.in/ssiindia/defination\\_msme.htm](http://www.dcmsme.gov.in/ssiindia/defination_msme.htm)

## 5. SELECTION CRITERIA

GITA and the Israel Innovation Authority will use the following joint evaluating criteria in preparing their recommendation:

- The Product and the Technology – What is the extent of technological innovation in the product to be developed? Is the technological approach sound? What are the technological challenges and assessment of the technological risks? What competing technologies exist and what is their relative disadvantage?
- The cooperation level between the partners- synergy between the companies, complementary technological abilities, tasks' balance between the partners, benefits of each partners from the joint collaboration, the cooperation as a key factor to develop a product/technology with a substantial added value to the relevant markets, to what extent each partner benefits from the each other existing IP, in what way the partnership is strategic for the projects' partners
- The Budget – Is it realistic? Does it contain unnecessary expenses or does it lack others that are crucial?
- The Market – Is the estimate of the market size, market share and commercial potential realistic? Who are or will be the main competitors in this market?
- Capabilities of the Companies – The qualification of the development teams and their ability to successfully carry out the development objectives. The resources available to carry out the marketing/sales and sales support responsibilities.
- Benefits – What benefits is the project expected to yield to both companies and to the Israeli and Indian national economies?

Any team proposing an R&D project that addresses the above criteria is eligible to apply to this CFP in accordance with the national laws, rules regulations and procedures of their respective country.



**India-Israel Industrial R&D and Technological Innovation Fund (I4F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

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## **6. R&D PROJECT FUNDING – FINANCIAL SUPPORT TO SUCCESSFUL APPLICANTS**

Selected R&D project participants will receive funding from GITA, on behalf of Department of Science & Technology (DST), Government of India, in India and from Israel Innovation Authority in Israel respectively. Funds will be provided in accordance with the national laws, rules, regulations and procedures established by each organization, and/or each jurisdiction/country.

Although the individual type and value of individual contributions allocated to India-Israel project may vary, each bilateral project must highlight the total contribution from each participating country. Total contribution may be defined as the combined value of cash, human resource effort, services and/or equipment that each country invests in an R&D project.

### **Funding for R&D Project Participants in India**

GITA on behalf of the Department of Science & Technology (DST), Government of India, will fund the successful projects, as follows:

- DST-GITA will support a Minimum of 0.25 Million USD to Maximum of 1.25 Million USD per project or 50% of the Indian Project Cost whichever is lower.

### **Funding for R&D Project Participants in Israel**

- Innovation Authority will support a Minimum of 0.25 Million USD to Maximum of 1.25 Million USD per project or 50% of the Israeli Project Cost whichever is lower.

## **7. APPLICATION PROCESS**

The I4F common applications are to be submitted following these criteria and in accordance with the national laws, rules, regulations and procedures in effect:

- a) At least two science and technology companies from the respective countries should express a desire to cooperate in the research and development of a new product or a new process.
- b) The project may involve more than one company from each side; academic/research entities are eligible to join as sub-contractors or as part of a bi-lateral Indo-Israeli consortium.
- c) The product should be technologically innovative and should have commercial potential. The joint industrial R&D project should aim at the development of products/processes leading to commercialization in the global market.
- d) The project partners should agree in advance on the IP rights and on the commercialization strategy of the product or process.
- e) The project should demonstrate the contribution of the participants from both countries
- f) The project must be balanced between participants and significant to both partners (no less than 40%-60%)
- g) The project timeframe is limited to 2 (two) years.
- h) A minimum of 50% of the total project costs must be incurred by the business partners (Israel and India).
- i) Although it is not mandatory, projects that engage an end-user/first customer are strongly encouraged in either side of the consortium

## India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications

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Applications meeting all basic criteria of the CFP are invited to submit full project proposals using the I4F common forms to both Implementing Organizations simultaneously within the deadline of the particular CFP's period. Applications submitted after the deadline of the call period would be considered eligible for the next call period. The proposal's application must be signed following the CFP instructions.

**The Israeli partner** is required to submit [I4F application forms](#) in accordance with the Israel Innovation Authority regulations, through the official Israel Innovation Authority website's [online system](#).

**The Indian partner** is required to submit I4F application forms to DST/GITA website's through an online system.

**Only online mode of submission will be accepted.**

### 8. IMPORTANT DATES AND DEADLINES

Opening of the Call for Proposals	15 January 2018
Closing of the Call for Proposals	16 April 2018
Anticipated release date of Call For Proposal results	July/August (Tentative)

Note: The above timeline/schedule is indicative only and is subject to change.

**Important Note:**

***Please do not leave your submission to the last minute.***

If any technical difficulties arise or if you identify any errors in your submission, we will not be able to grant an extension to the above deadlines.

It is your responsibility to ensure you follow the competition guidance rules and in doing so allow sufficient time to complete all of the competition requirements described in this document.

## India-Israel Industrial R&D and Technological Innovation Fund (I<sup>4</sup>F) Call for Proposal – Terms, Conditions & Guidelines for Applications

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### 9. MATCHMAKING SUPPORTS

#### *In India: GITA's Matchmaking Tool*

GITA has developed a web platform for online Matchmaking process to enable applicants to find a suitable project partner.

- Please visit the page <http://gita.org.in/Matchmaking.aspx>
- Interested applicants need to submit a matchmaking profile on this platform, providing the following information:
  - Brief description of the organisation
  - Core-technical profile of the projects
  - Core-technical profile of the desired potential partner
  - Upload presentation on project/company, if any
  - *Non-registered applicants/users are expected to register the organisations prior to submitting the Matchmaking Profile through simple registration process from provided link*
- You can access the database of registered profiles and identify potential R&D project partners for your project. Upon identification of suitable project partner, you connect with them directly through portal. Please note – your Matchmaking profile listing may take some time for internal review and hosting on Matchmaking page.
- Please submit separate Matchmaking Profile for each project in case, you have more than one project.
- Applicants may tag their profile as Confidential in case they don't want to publish on GITA public portal, such profiles will be referred by GITA team and its partners for suggesting suitable partner.

### 10. PROJECT COST GUIDELINES

I<sup>4</sup>F funding is designed to support the companies development cost up to the point of product commercial readiness. Eligible project costs are R&D costs directly related to the project which will be incurred by the applicant company during the R&D phase. I<sup>4</sup>F is unable to recognize project costs which are incurred before the official project start date. Each applicant company is required to complete its respective proposed project budget form. The proposed project budgets will be qualified for evaluation. Project cost that I<sup>4</sup>F will consider to be eligible for cost sharing are: direct R&D manpower excluding top-level management who are not directly involved in R&D activities related to this project, consumable materials, equipment, consulting services, subcontractors, project associated travel (domestic and international), outlays to meet regulatory requirements, IPR filing, and other expenses that are directly related to the joint R&D project. I<sup>4</sup>F recognizes the procurement, usage and depreciation costs according to the rules and regulations of each funding entity.

- **Exchange Rates**

Disbursements will be in local currencies. The applicant company is required to present its proposed project budget in local currency, i.e. Indian Rupee (INR) for the Indian company or Israeli New Shekel (ILS) for the Israeli company, AND in US dollar (USD).

For currencies conversion, both the applicant company and its project partner are to: Use the **same source** for the exchange rate, i.e. OANDA or XE

## **India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications**

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Use the **same date** of exchange rate, e.g. use exchange rate on 1 Jan 2018 for INR to USD conversion AND ILS to USD conversion. The date to be used may be up to 1 month prior to the signed proposal submission date.

- **Cost Categories (categories I. to VII. are related to R&D costs)**

### **I) DIRECT LABOR**

#### **Definitions of Eligible Direct Labor**

Permanent/Contractual personnel directly related and relevant to the project that are based in India for the Indian company, and based in Israel for the Israeli company. The R&D personnel may be directly employed or on contract and receive the compensation from the applicant company. The R&D personnel should hold relevant technical qualification and experience

#### **Conditions**

The rules of salary expenses, including overhead and administrative expenses, will apply according to the accepted practice in each country

R&D personnel who are foreigners working in India or Israel must hold valid work passes.

#### **Not Supported as Direct Labor**

Temporary R&D personnel (to be classified under V. Subcontractors & Consultants) R&D personnel who are employed and paid by a related company, subsidiary, overseas branch, 3<sup>rd</sup> party, etc.

### **II) EQUIPMENT / SOFTWARE DEPRECIATION**

#### **Definitions of Eligible Equipment / Software Depreciation**

The usage cost in value of the new equipment or software deployed during the project and the purchase of equipment/software which are required for and relevant to the project shall be supported according to DST/GITA and Israel Innovation Authority policies.

#### **Not Supported as Depreciation Cost**

Miscellaneous cost such as VAT/GST, bank charges, shipping, delivery, setting up, servicing, etc.

### **III) EXPENDABLE MATERIALS & SUPPLIES**

#### **Definition of Eligible Expendable Materials & Supplies**

Off the shelf consumables which are used during the Project and are not reusable.

Items which require to be custom-made by 3<sup>rd</sup> parties if required for the project.

#### **Condition**

The applicant company supplying consumables to its Project's partner during the Project should not charge the consumables cost to the partner's proposed project budget. The applicant company should include such cost in its own proposed Project budget

#### **Not Supported as Expendable Materials & Supplies Cost**

Office supplies, rental, utilities, etc.

## **India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications**

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Miscellaneous cost such as VAT/GST, bank charges, shipping, delivery, etc.

### **IV) TRAVEL**

Definitions of Eligible Travel Cost

Cost incurred during trips between India and Israel as well as internal travel cost in India

Airfare, room and Board according to the applicant company's policy Travel cost related to joint commercialization activities - to be classified under VII. Joint Commercialization

#### **Conditions**

Only trips made by R&D personnel listed in the approved project budget are recognized

Trips should be of R&D nature and directly related to the project

The applicant company's R&D personnel, who travel to the project partner's country, should not charge their travel costs to the partner's proposed project budget. The applicant company should include such costs in its own proposed project budget

#### **Not Supported as Travel Cost**

Trips to countries other than India and Israel

Passport and visa application, travel insurance, etc.

### **V) SUBCONTRACTORS & CONSULTANTS**

#### **Definition of Eligible Subcontractors & Consultants**

3<sup>rd</sup> parties which are engaged by the applicant company to assist in the engineering and technical aspect of the project Consultants who are engaged by the applicant company to develop a marketing/commercialization plan

#### **Conditions**

I<sup>4</sup>F will qualify the applicant company's proposed project budget. The total outsourcing cost is recognized at maximum 30% of the qualified cost before I<sup>4</sup>F includes the additional overhead and general & admin cost

The applicant company's contractual agreement with the subcontractors or consultants shall be submitted to I<sup>4</sup>F upon request. The contractual agreement must comply with these Guidelines and in particular the provisions of the IPR Annex.

The applicant company engaging its related company or subsidiary as subcontractor or consultant shall be charged at cost

The applicant company does not possess the expertise of the subcontractor or consultant. Legal consultation related to the project, other than the dispute or arbitration.

#### **Not Supported as Subcontractors & Consultants**

3<sup>rd</sup> parties which are engaged to carry out mass production, business/legal consultation, etc.

The applicant company's project partner

# India-Israel Industrial R&D and Technological Innovation Fund (I4F) Call for Proposal – Terms, Conditions & Guidelines for Applications

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## VI) CERTIFICATIONS

### Definition of Eligible Certifications

Regulatory activities and standards certifications related to the project which are mandatory before the proposed product or technology can be commercialized

### Supported as Certifications Cost

Patent applications

### Not Supported as Certifications Cost

Tests done by 3<sup>rd</sup> parties which are not mandatory for the commercialization of the proposed product or technology (to be classified under V. Subcontractors & Consultants)

## VII) JOINT COMMERCIALIZATION

### Definition of Eligible Joint Commercialization Cost

Any further certifications or testing required for commercialization based on a detailed report.

Joint participation in trade exhibitions, joint presentation at conferences, joint marketing collaterals and joint road shows in Israel/India or other third countries

Examples of joint commercialization costs: Rental of exhibition booth space, conference registration fee, printing of brochures/posters, travel cost related to joint commercialization activities

### Conditions

For each commercialization activity, a prior confirmation is needed by the both fund managers.

Grant amount up to equivalent of US\$30,000 per project each side is provided for the pre-defined joint commercialization costs stated above.

Both the applicant company and its Project partner must share the commercialization cost, hence, the commercialization cost must be included in both companies' budget forms

### Not Supported as Joint Commercialization Cost

Commercialization activities which are not carried out jointly by the applicant company and its project partner

Commercialization activities which are not related to the proposed product or technology

## 11. EVALUATION AND SELECTION OF R&D PROJECTS

### Evaluation Process

- Application is submitted to the DST/GITA online system by the Indian partner/consortium and to Israeli Innovation Authority website.

## **India-Israel Industrial R&D and Technological Innovation Fund (I<sup>4</sup>F) Call for Proposal – Terms, Conditions & Guidelines for Applications**

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- Upon receiving applications forms, each fund's Co-Manager assigns for each R&D Project evaluators team on the basis of technological, commercial and financial parameters.
- Israel Innovation Authority team will include a relevant professional expert selected based upon the nature of the project submitted and a financial expert to evaluate the applying company's financial capability and to conduct a full due diligence.
- The projects from Indian side will be evaluated through a group of Subject Matter Experts in two phase evaluation mechanism along with the Techno-Financial and Physical Onsite Due Diligence, if required. Applicant may also be requested to make a Techno-Financial presentation to before the evaluation committee, if required.
- The review of the project proposal will be conducted according to rigorous standards, based on the project criteria and requirements specified in the CFP application form.
- The Evaluator reviews the full project proposal and conducts an on-site evaluation. The evaluator can ask the company for supplementary material if needed.
- The financial check of the companies are conducted in parallel.
- The evaluation results will be presented to the Governing Board where the final decision on the approved projects will be taken.
- The Board's decision will be conveyed in email to the Indian and Israeli Project Applicants within one business week after the Board's meeting. If funding has been approved, the applicants will have up to 3 (three) months to materialize this approval by signing with I<sup>4</sup>F Cooperation and Project Funding Agreement. This agreement must be signed the CEO of the Israeli company, and the CEO of the Indian company.

**India-Israel Industrial R&D and Technological Innovation Fund (I<sup>4</sup>F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

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## 12. RELEASE OF FUNDS AND ROYALTY PAYMENT

I<sup>4</sup>F will cost-share in the joint development by supporting approved Applicants a conditional grant totalling 50% of the Total Joint Project Budget, or another percentage as determined by the Governing Board. Each Project Applicant directly receives the portion of the total grant that is relative to its share in the budget.

The Funds granted shall be disbursed in combination of advance and reimbursement for the cost already incurred on proportionate basis to the Indian and Israeli Project Applicants, and the Consortium Partners, if any, at periodic intervals (35% as advance payment and a quarterly payment starting from 6 months after the Project's starting date).

Repayment of Conditional Grant will be based on the total revenue resulted from the total incomes resulted from the Product developed in the joint project, at a rate of no less than 2% and no more than 5% per year, until the conditional Grant is fully repaid. The Indian Project Partners/ Consortium will repay I<sup>4</sup>F via DST/GITA while the Israeli Project Partners/ Consortium will repay I<sup>4</sup>F via the Israel Innovation Authority.

**Commercialization** - The cooling period will be up to 2 (two) years, subject to maximum of 5 (five) years from the date of release of the Project funding.

## 13. KEY DOCUMENTS NEEDED (For Indian Applications)

Stage	Documents
Application Form Submission Stage	<ol style="list-style-type: none"> <li>1. Covering letter</li> <li>2. Completed Full Project Proposal in Application Form along with all annexures (signed and stamped by Authorized signatory)</li> <li>3. Presentation for Evaluation Committee Meeting – add photographs in PPT</li> <li>4. Memorandum of Understanding (MoU) between All Consortium Partners. This MoU should basically cover the following points: <ul style="list-style-type: none"> <li>• Percentage sharing of IP Rights on new product/process/knowledge being developed/ created/invented during this collaborative R&amp;D Process.</li> <li>• Background IP's of the partnership (If Any) to be used for this project scope.</li> <li>• Probable/possible market for the new product/process/knowledge &amp; rights to manufacture/License in the respective market for the period of Time (time span)</li> <li>• % sharing of Royalty</li> <li>• Dispute and arbitration clause</li> <li>• Exclusivity and Non-Exclusivity rights if any</li> <li>• Duration of this agreement in force</li> </ul> </li> <li>5. Registration Certificate of all project partners, including Academia/R&amp;D Labs, issued by competent authority</li> <li>6. In case of in-house R&amp;D Centres, all relevant certificates from stakeholders, competent authority relevant for in-house R&amp;D, Defence Manufacturing &amp; production should be submitted.</li> <li>7. Audited Annual Reports (including Income Tax Return, Balance Sheet, and Profit &amp; Loss Account &amp; Auditor's Reports) of all Consortium partners for the last three Financial Years.</li> <li>8. Know Your Customer (KYC) documents of all Consortium partner(s). KYC means Identity &amp; Address proof of the</li> </ol>



**India-Israel Industrial R&D and Technological Innovation Fund (I4F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

	<p>organization which includes Company PAN Card, Electricity Bill, etc.)</p> <p>9. Self-Declaration of Applicant on Company letterhead, signed by MD/CEO/Company Secretary, as all Statutory Norms are compiled by the Applicant till date.</p> <p>10. Share Holding Patter of the Company (highlighting the Foreign Investment, if any)</p> <p>11. Copy of all relevant Certification like CMMI, ISO, etc. if any</p>
Agreement Signing Stage	All successful project applicants (in India) will be informed before the agreement signing stage about the requisite documents to be submitted during the Agreement Signing stage.

#### 14. RESPONSIBILITIES AFTER PROPOSAL HAS BEEN APPROVED

After the proposal has been approved, the Project Leads and project partners shall observe national rules for progress reports and report adherence to the project plan. This includes technical and financial reporting to GITA and IIA, respectively.

Representatives from all project partners must be able to adhere to a possible mid-term evaluation, to be conducted by GITA and IIA.

After completion of the project, the partners shall promptly submit a final report to GITA as well as IIA respectively. This report shall cover technical achievements as well as financial details. Special focus should be put on the impact of the products/services developed, its exploitation plan and go to market strategy.

#### 15. CONTACT INFORMATION

##### INDIA:

<p><b>Mr Nilesh Patel</b> Global Innovation &amp; Technology Alliance (GITA) <a href="http://www.gita.org.in">www.gita.org.in</a> Email: <a href="mailto:nilesh.patel@gita.org.in">nilesh.patel@gita.org.in</a> Tel : +91 11 4288 8009</p>	<p><b>Ms Deepanwita Mukherjee</b> Global Innovation &amp; Technology Alliance (GITA) <a href="http://www.gita.org.in">www.gita.org.in</a> Email: / <a href="mailto:deepanwita.mukherjee@gita.org.in">deepanwita.mukherjee@gita.org.in</a> Tel : +91 11 4288 8004</p>
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##### ISRAEL:

<p><b>Ms. Merav Tapiero</b> Israel Innovation Authority <a href="http://www.innovationisrael.org.il">www.innovationisrael.org.il</a> Email: <a href="mailto:Merav.Tapiero@innovationisrael.org.il">Merav.Tapiero@innovationisrael.org.il</a> Phone : +972-3-5118169</p>	
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**India-Israel Industrial R&D and Technological Innovation Fund (I4F)  
Call for Proposal – Terms, Conditions & Guidelines for Applications**

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